

INSTRUCTIONS FOR COMPLETING THE USMCA CERTIFICATION OF ORIGIN

For purposes of obtaining preferential tariff treatment, this document must be completed legibly and in full, and be in the possession of the importer at the time the declaration is made. This document may be completed by the importer, exporter, or producer.

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| BLANKET PERIOD | Indicate the duration of the Certificate. The blanket period cannot exceed a period of one year. |
| 1. CERTIFIER | Provide the Certifier's company name, address (including country), e-mail address, and telephone number. |
| 2. EXPORTER | Provide the Exporter's name, address (including country), e-mail address, and telephone number if different from the certifier. This information is not required if the producer is completing the certification of origin and does not know the identity of the exporter; state "Unknown." The address of the exporter shall be the place of export of the good in a Party's territory. If the information is the same as the Certifier, state "Same as Certifier." |
| 3. PRODUCER | Provide the Producer's name, address (including country), e-mail address, and telephone number, if different from the certifier or exporter. If there are multiple producers, state "Various" or provide a list of producers. If this information is to remain confidential, state "Available upon request by the importing authorities". The address of a producer shall be the place of production of the good in a Party's territory. If the information is the same as the Certifier, state "Same as Certifier." |
| 4. IMPORTER | Provide, if known, the Importer's name, address, e-mail address, and telephone number. The address of the importer shall be in a Party's territory. If the importer name and address are not known, state "Unknown." |
| 5. DESCRIPTION | Provide a description of the good. The description should be sufficient to relate it to the good covered by the certification. |
| 6. HTS | Provide the Harmonized Tariff Schedule classification to the 6-digit level for each good described in Field 5 |
| 7. ORIGIN CRITERION | <p>Specify the origin criterion (A, B, C, or D) under which the good qualifies, as set out in Article 4.2 (Originating Goods):</p> <p>A - Wholly obtained or produced entirely in the territory of one or more of the Parties, as defined in Article 4.3 (Wholly Obtained or Produced Goods)</p> <p>B - Produced entirely in the territory of one or more of the Parties using non-originating materials provided the good satisfies all applicable requirements of Annex 4-B (Product-Specific Rules of Origin)</p> <p>C - Produced entirely in the territory of one or more of the Parties exclusively from originating materials</p> <p>D - "Except for a good provided for in Chapter 61 to 63 of the Harmonized System:</p> <p style="padding-left: 20px;">(i) produced entirely in the territory of one or more of the Parties;</p> <p style="padding-left: 20px;">(ii) one or more of the non-originating materials provided for as parts under the Harmonized System used in the production of the good cannot satisfy the requirements set out in Annex 4-B (Product-Specific Rules of Origin) because both the good and its materials are classified in the same subheading or same heading that is not further subdivided into subheadings or, the good was imported into the territory of a Party in an unassembled or a disassembled form but was classified as an assembled good pursuant to rule 2(a) of the General Rules of Interpretation of the Harmonized System; and</p> <p style="padding-left: 20px;">(iii) the regional value content of the good, determined in accordance with Article 4.5 (Regional Value Content), is not less than 60 percent if the transaction value method is used, or not less than 50 percent if the net cost method is used"</p> |
| 8. CERTIFICATION INDICATOR | <p>Specify Certifier's authority (in accordance with Article 5.2) for Certification of the good (A, B, C, or D) based on the following. SELECT ONLY ONE:</p> <p>A - Certifier is not the Producer, but has a certification or statement from the Producer*</p> <p>B - Certifier is the Importer**</p> <p>C - Certifier is the Exporter</p> <p>D - Certifier is the Producer</p> <p>NOTE: Any good for which you are the Producer, always select Indicator D even if another indicator may apply</p> <p><u>*All USMCA Certificates require a statement of the Certifier's status as either Importer, Exporter, or Producer. A good listed with an Indicator A should/will only ever be used as a supporting statement of USMCA eligibility and cannot be used as a basis to claim USMCA preference on that good at time of entry. If the certifier or a recipient of this Certificate wishes to claim preferential treatment on a good listed with an Indicator A, they must issue a new USMCA Certificate declaring themselves as either the Exporter (Indicator C) or the Importer (Indicator B), as appropriate.</u></p> <p><u>**Restrictions on use by country may apply, see Article 5.2</u></p> |
| 9. METHOD OF QUALIFICATION | For each good described in Field #5, where the good is subject to a Regional Value Content (RVC) requirement, indicate " NC " if the RVC was calculated according to the Net Cost method and " TV " if the good was calculated according to the Transaction Value method. If the good was qualified via a tariff shift, indicate " TS ." If Origin Criterion A or C , enter " NO ." |
| 10. COUNTRY OF ORIGIN | Identify the country of origin of the good using the ISO Country Code (" CA " or " US " or " MX ") |
| PAGE COUNT | Include the total page count of the cover page + continuation page(s) containing eligible parts only. Non-Eligibles addendum and Instructions should NOT be part of total page count. |
| 11. SIGNATURE BLOCK | This field must be completed, signed, and dated by the authorized Certifier. The date must be the date the Certificate was completed and signed. Indicate Certifier's Company, Title, Telephone Number, and Email Address. |